

## Patent and Trademark Searching

### Provisional v. Non-provisional Applications

- Provisional Patent Application only serves as a place-holder and a patent will not issue from a Provisional Patent Application. An interim patent application that provides the inventor with
  - (1) constructive reduction to practice and
  - (2) proof of an invention date

A provisional patent is *NOT* published and is considered abandoned 12 months after it is filed.

 Non-Provisional Patent Application A patent application filed with the USPTO and waiting for an Examination by a USPTO Examiner. (It will be published 18 months after receipt.) Application must have claims and a drawing. The USPTO charges an application fee, search fee, and an examination fee.

### Publication

While a provisional patent application is never published by the U.S. Patent Office for the public to view or search, there are two situations when a provisional patent application will be made publicly available for inspection. If a non-provisional patent application is filed claiming priority to the provisional patent application and the non-provisional patent application is either (i) published or (ii) granted as a patent, then the public may request a copy of the provisional patent application. It is important to note that this is not publication of the provisional patent application and the provisional patent application cannot be publicly searched - the only access is provided by a direct request from the public to inspect the provisional at the U.S. Patent Office.

### Basic Patent Concepts/Terms

- <u>Patent Pending</u> a patent application has been filed with the USPTO but has not issued.
- "<u>Reduction to practice</u>" the inventor has either
  - (1) made a prototype of the invention or
  - (2) described the invention with enough detail that someone else could make a prototype of the invention.
- <u>Grace Period</u> under AIA §102(b)(1)(A), an inventor has <u>1</u>
   <u>year</u> from the date of the first public disclosure of their invention to file a patent application
  - any 3<sup>rd</sup> party inventions which are <u>substantially identical</u> and are disclosed in this grace period are not considered prior art under AIA §102(b)(1)(B); mere insubstantial changes and trivial or obvious variations do not invoke §102(b)(1)(B)

### **Prior Art**

- **Prior Art** refers to existing inventions reviewed to decide if an invention is sufficiently novel and non-obvious to qualify for a patent.
- "Prior Art" has a very specific, fact intensive, legal definition and refers to very specific references, for example:
- Articles describing the invention and **also** pre-dating the inventor's date of conception
- Articles describing the invention or products on the market more than one year prior to the filing date
- Patents issued prior to the inventor's conception
- Definition of what is considered has been changed by the America Invents Act

### America Invents Act (AIA)

 On March 16, 2013 the United States joined the rest of the world as a "first to file" nation with the implementation of the AIA. The Act broadened the definition of prior art but maintained a 1 year grace period for inventors who make public disclosure.

### Why Conduct a Patent Search?

- Right to Use (Freedom to Operate)
  - Is there already a patent on the technology or a piece of the technology?
    - Important to licensing strategies
    - Pencil example: the inventor of the eraser attached to the already patented basic pencil cannot make, use, sell, offer to sell, or import the pencil with the attached eraser without permission (e.g., license) from the pencil's patent owner and vice versa.

- Compare: your invention to prior art patent claims

- Patentability
  - Is the technology novel and nonobvious in light of all the prior art found
  - Compare: your claims to all prior art

### Where to Patent Search

United State Patent and Trademark Office (USPTO)

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- Section Patents and Applications
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### **Google Patents**



michael jackson

#### Patents

#### Method and means for creating anti-gravity illusion

US 5255452 A

#### ABSTRACT

A system for allowing a shoe wearer to lean forwardly beyond his center of gravity by virtue of wearing a specially designed pair of shoes which will engage with a hitch member movably projectable through a stage surface. The shoes have a specially designed heel slot which can be detachably engaged with the hitch member by simply sliding the shoe wearer's foot forward, thereby engaging with the hitch member.

Publication number Publication type
Application number
Publication date
Filing date
Priority date ?
Fee status ?
Inventors

Original Assignee

Export Citation

US5255452 A Grant US 07/905,479 Oct 26, 1993 Jun 29, 1992 Jun 29, 1992 Lapsed

Find prior art

Michael J. Jackson, Michael L. Bush, Tompkins

**Discuss this patent** 

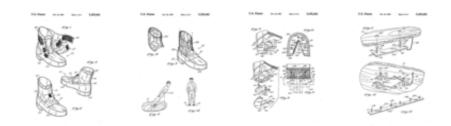
Triumph International, Inc.

BiBTeX, EndNote, RefMan

Patent Citations (10), Referenced by (3), Classifications (11), Legal Events (9)

External Links: USPTO, USPTO Assignment, Espacenet

#### **IMAGES** (4)

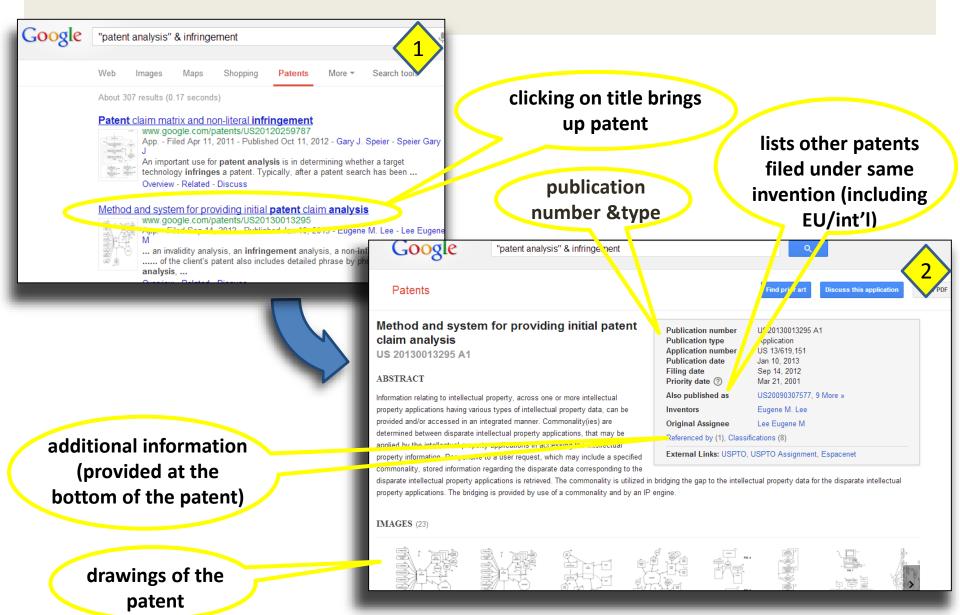


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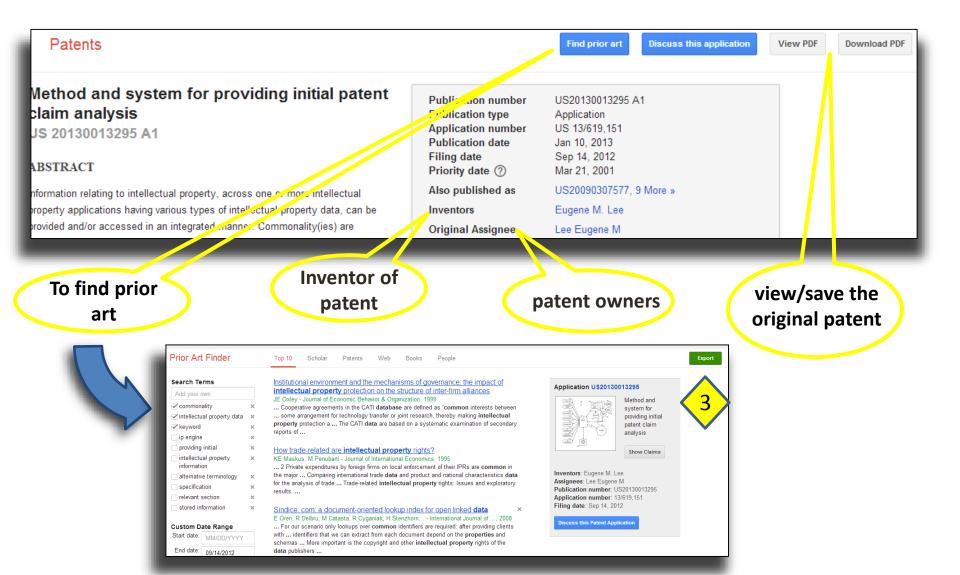
### **Google Patents search tips**

- "" = searches for entire phrase within quotation marks
- & = results must contain both terms
- OR = connects keywords to retrieve results using terms on either side
- + = (with no space directly in front of term) indicates words must be present in results
- = (with no space directly in front of term) indicates word must not be present in results
- ~ = (with no space directly in front of term) finds terms with similar meanings
- .. = two periods between numbers with no spaces indicates a range
- "term1" AROUND(#) "term2" = term1 and term 2 must appear within # words of each other
- inassignee:"name" = will return patents assigned to the party named
- ininventor:"name" = will return patents invented by the party named

### search: "patent analysis" & infringement



# additional information at the top of the patent



# additional information at the bottom of the patent

gives an idea of who else is in the field

	Filing date	Publication date	Applicant	Title	
US20060173920 *	Apr 3, 2006	Aug 3, 2006	Adler Mark S	Method for analyzing in	later patents
US20070288256 *	Jun 7, 2007	Dec 13, 2007	Speier Gary J	Patent claim reference	filed in the sar
* Cited by examiner					
REFERENCED BY	1				field
				7.4	
Citing Patent	Filing date	Publication date	Applicant	Title	
US20130007578 *	Jun 30, 2011	Jan 3, 2013	Landon Ip, Inc.	Method and apparatus document	
* Cited by examiner					
CLASSIFICATION	s				
U.S. Classification	705/31	10			clicking on th
International Classif					
Cooperative Classifi		10/10, G06Q50/184			classification
Cooperative Classifi	cation Goog	10/10, G06Q50/164	_		brings up the cl
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Lists classification of patents

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Inventor	Return patents with the inventor name	First name, last name, or both
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Patent type/status Date	Return patents with type/status <ul> <li>Return patents anytime</li> <li>Return patents between v and v</li> <li>e.g. 1999 and 2000, or Jan 1999 and Dec 2000</li> </ul>	Any type/status
Restrict date by	Restrict by filing date     O Restrict by issue date	

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### USPTO



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#### Patents for Humanity Program Application Deadline is September 15

The USPTO's Patents for Humanity Program application deadline is September 15. The flect Program recognizes businesses, inventors, non-profits, and universities who leverage their intellectual property portfolio to tackle global humanitarian challenges. The renewal is part of the Obama administration's ongoing commitment to strengthen the U.S. patent system.





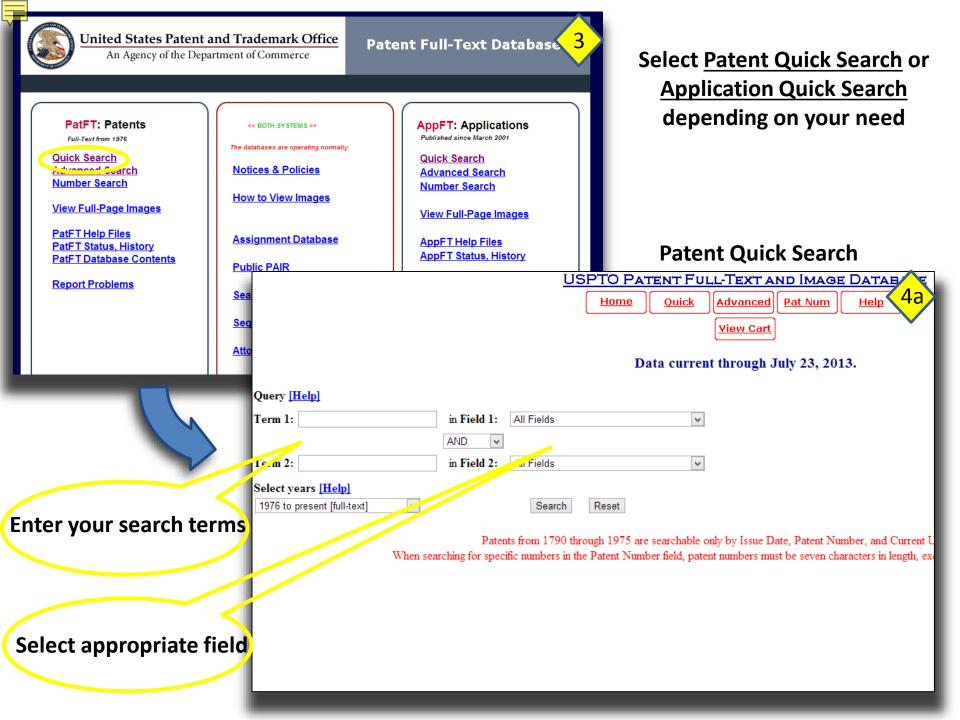
The Director's Forum

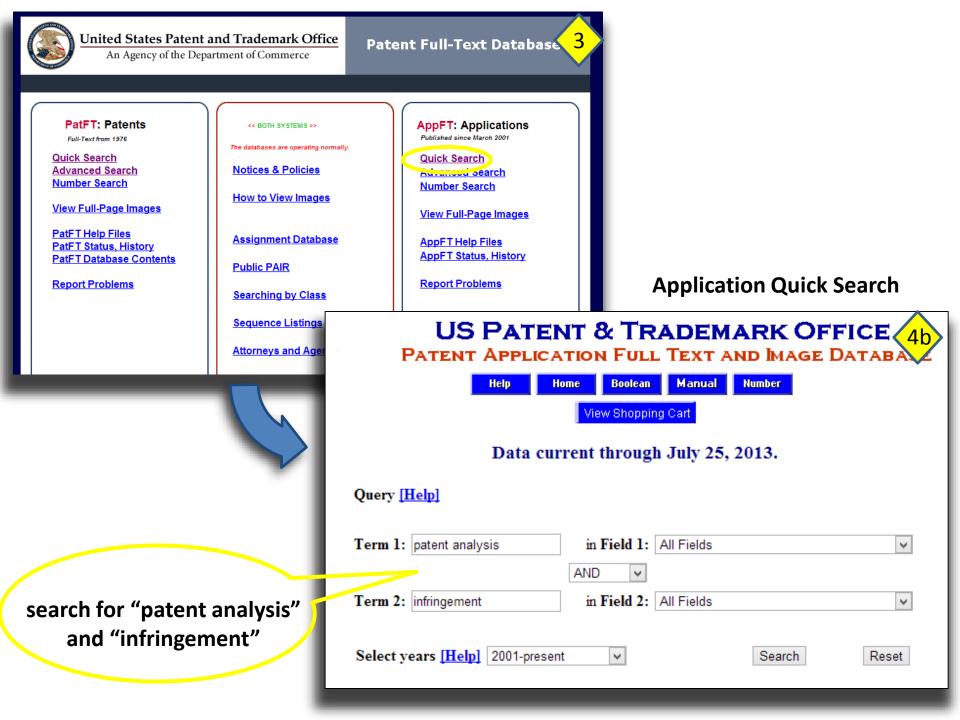


Patent Litiaation

#### >> patents







Final 20 Hits         Jump To         Refine Search       "patent analysis" AND infringement         PUB. APP. NO.       Title         1       20130191294 METHOD AND SYSTEM FOR ELECTRONIC IP PROSECUTION         2       20130179386 INNOVATION EXPERT SYSTEM, IES, AND ITS PTR DATA STRUCTURE, P         3       20130159308 Interactive Global Map         4       20130132320 INNOVATION EXPERT SYSTEM, IES, AND ITS PTR DATA STRUCTURE, P         5       20130086257 METHOD AND APPARATUS FOR FINDING WEB HITS FOR PATENTS         6       20130086070 PRIOR ART MANAGEMENT         7       20130086045 PATENT MAPPING         8       20130018805 Method and system for linking information regarding intellectual property, items of trade         9       20130013521 SYSTEM FOR PROVIDING A BINDING COST FOR FOREIGN FILING A PA	PTR-DS de. and technical. legal
10 20130013520 ELECTRONIC LICENSING SYSTEM AND METHOD 11 20130013510 METHOD AND SYSTEM FOR ELECTRONIC IP PROSECUTION 12 20132013295 METHOD AND SYSTEM FOR PROVIDING INITIAL PATENT CLAIM ANAL 13 20120330851 METHOD AND SYSTEM FOR PROVIDING LICENSE DIF ORMATION	number
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document type	20130013295 A1 January 10, 2013
	WIDING INITIAL PATENT CLAIM ANALYSIS Abstract 7, across one or more intellectual property applications having various types of intellectual property data, can be provided and/or accessed in an integrated manner. Commonality(ies) are determined between disparate
intellectual property applications, that may	y be applied by the intellectual property applications in accessing the intellectual property information. Responsive to a user request, which may include a specified commonality, stored information regarding the disparate true property applications is retrieved. The commonality is utilized in bridging the gap to the intellectual property data for the disparate intellectual property applications. The bridging is provided by use of a commonality
Inventors: Lee; Eugene M. Family ID: 41402256 Serial No.: 619151 Series Code: 13 Filed: September 14, 2	L; (Annandale, VA) 2012
classification codes	704/9 704/9 G06Q 10/06 20130101; G06Q 10/10 20130101; G06Q 50/26 20130101 G06F 17/27 20060101 G06F017/27

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Patent	Search
Patent	Process

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Inventors Assistance Center (IAC)

#### Patents

#### What is a patent?

A patent is an intellectual property right granted by the Government of the United States of America to an inventor "to exclude others from making, using, offering for sale, or selling the invention throughout the United States or importing the invention into the United States" for a limited time in exchange for public disclosure of the invention when the patent is granted.

There are three types of patents. <u>Utility patents</u> may be granted to anyone who invents or discovers any new and useful process, machine, article of manufacture, or composition of matter, or any new and useful improvement thereof. Here is the **process for obtaining a utility patent**. **Design patents** may be granted to anyone who invents a new, original, and ornamental design for an article of manufacture. **Plant patents** may be granted to anyone who invents or discovers and asexually reproduces any distinct and new variety of plant.

View the different types of patent applications.

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#### Patent Tools and LInks

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Bibliographic Data							
Application Number:	07/899,179	Customer Number:	-				
Filing or 371 (c) Date:	06-15-1992	Status:	Patented Case				
Application Type:	Utility	Status Date:	01-27-1993				
Examiner Name:	HARRISON, JESSICA	Location: 🥡	FILE REPOSITORY (FRANCONIA)				
Group Art Unit:	3304	Location Date:	08-04-2004				
Confirmation Number:	5516	Earliest Publication No:	-				
Attorney Docket Number:	723-43	Earliest Publication Date:	-				
Class / Subclass:	273/433	Patent Number:	5,184,830				
First Named Inventor:	SATORU OKADA , KYOTO, (JP) all Inventors	Issue Date of Patent:	02-09-1993				
First Named Applicant:	-	AIA (First Inventor to File):	No				
Entity Status:	Undiscounted						

Title of Invention:

COMPACT HAND-HELD VIDEO GAME SYSTEM

### **USPTO Trademark Search**



United States Patent and Trademark Office

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Word and/or Design Mark Search (Structured) This option is used to search word and/or design marks. NOTE: You must first use the Design Search Code Manual to look up the relevant Design Codes.
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2	77504620		IPHONE	TSDR	DEAD
3	77353055	4425780	IPHONE	TSDR	LIVE

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# **ú** iPhone

Word Mark Goods and Services

## Design and Word Searching

Mark Drawing Code Design Search Code Trademark Search Facility Classification Code Serial Number Filing Date Current Basis **Original Filing Basis** Published for Opposition Registration Number International Registration Number **Registration Date** Owner Attorney of Record **Priority Date** Prior Registrations

#### IPHONE

IC 009. US 021 023 026 036 038. G & S: Handheld mobile digital electronic devices for the sending and rece format audio player, and for use as a handheld computer, personal digital assistant, electronic organizer, elec COMMERCE: 20070629

IC 028. US 022 023 038 050. G & S: Handheld unit for playing electronic games. FIRST USE: 20070629. FIR

IC 038. US 100 101 104. G & S: Telecommunication access services; communication by computer; transmiss telecommunications connections to computer databases and the Internet; electronic transmission of streamed networks; delivery of messages by electronic transmission; provision of telecommunications connections to electronic transmission; provision of telecommunications; provision of telecommunications; provision; provisi; provision; provisi; provision; provision; provision; pro

(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
05.09.05 - Apples
LETS-1 I A single letter, multiples of a single letter or in combination with a design VEG Plant life such as trees, flowers, fruits, grains, nuts, wreaths, and leaves
77976400
December 15, 2007
1A
1B;44D
November 24, 2009
3746840
0975076
February 9, 2010
(REGISTRANT) Apple Inc. CORPORATION CALIFORNIA 1 Infinite Loop Cupertino CALIFORNIA 95014
Thomas R. La Perle
June 22, 2007

2715578:2753069:2870477:3669402:AND OTHERS

### **Special Considerations**

- The trademark does not have to be new or previously unused
- Search Results:
  - Is the mark LIVE or DEAD?
  - Is the mark connected to the same goods and services?